



Leicester
City Council

MEETING OF THE GOVERNANCE AND AUDIT COMMITTEE

DATE: MONDAY, 24 MARCH 2025

TIME: 5:30 pm

PLACE: Meeting Room G.01, Ground Floor, City Hall, 115 Charles Street, Leicester, LE1 1FZ

Members of the Committee

Councillor Kaur Saini (Chair)

Councillor Clarke (Vice-Chair)

Councillors Bonham, Cassidy, Kitterick, Rae Bhatia and Westley

Independent Member Mr Bipon Bhakri

One unallocated Labour Group place

Members of the Committee are summoned to attend the above meeting to consider the items of business listed overleaf.

for Monitoring Officer

Officer contact: Sharif Chowdhury
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Governance Services, Leicester City Council,
City Hall, 115 Charles Street, Leicester, LE1 1FZ
Email. committees@leicester.gov.uk

Information for Members of the Public

Attending meetings and access to information

You have the right to attend formal meetings such as Full Council, committee meetings, and Scrutiny Commissions and see copies of agendas and minutes. However, on occasion, meetings may, for reasons set out in law, need to consider some items in private.

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- ✓ to respect the right of others to view and hear debates without interruption;
- ✓ to ensure that the sound on any device is fully muted and intrusive lighting avoided;
- ✓ where filming, to only focus on those people actively participating in the meeting;
- ✓ where filming, to (via the Chair of the meeting) ensure that those present are aware that they may be filmed and respect any requests to not be filmed.

Further information

If you have any queries about any of the above or the business to be discussed, please contact committees@leicester.gov.uk

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PUBLIC SESSION

AGENDA

FIRE / EMERGENCY EVACUATION

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1. APOLOGIES FOR ABSENCE

2. DECLARATIONS OF INTEREST

3. MINUTES OF THE PREVIOUS MEETING

Item 3

(Pages 1 - 4)

The minutes of the meeting held on 11 February 2025 are attached and Members will be asked to confirm them as a correct record.

4. RISK MANAGEMENT UPDATE

Item 4

(Pages 5 - 44)

The Director of Corporate Services submits the Strategic and Operational Risk Registers to the Governance and Audit Committee.

The Governance and Audit Committee are recommended:

- To note and make any comments on the latest versions of the SRR and ORR, as provided.
- To note and make any comments on the proposal of reporting Strategic Risks at Appendix 1c.

5. RISK MANAGEMENT, BUSINESS CONTINUITY STRATEGY AND POLICIES 2025

Item 5

(Pages 45 - 98)

The Director of Corporate Services submits the Corporate Risk and Business Continuity Policies and Strategies reports to the Governance and Audit Committee.

The Governance and Audit Committee is recommended:

- That the updated Corporate Risk Management Policy Statement and Strategy (appendix 1) be noted.
- That the Corporate Business Continuity Management Policy Statement and Strategy (appendix 2) be noted.

6. REGULATION OF INVESTIGATORY POWERS ACT 2000 - BI-ANNUAL PERFORMANCE JULY-DECEMBER 2024

Item 6

(Pages 99 - 126)

The City Barrister and Head of Standards submits the Regulation of Investigatory Powers Act 2000 Bi-Annual Performance Report July 2024 – December 2024 to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to:

- Receive the Report and note its contents.
- Approve the proposed amendments to the Council's Surveillance Policy.
- Make any recommendations or comments it sees fit either to the Executive or to the City Barrister and Head of Standards.

7. INTERNAL AUDIT UPDATE AND INTERNAL UPDATE PLAN 2025/26 **Item 7**
(Pages 127 - 156)

The Director of Finance submits the Internal Audit Work Progress Report 2024/25 and the Internal Audit Work programme 2025/26 to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to:

- Note the progress made in delivering the 2024/25 internal audit work programme, and current delivery intentions over the remainder of the year.
- Approve the 2025/26 internal audit work programme.

8. ANNUAL REVIEW OF THE COUNCIL'S LOCAL CODE OF CORPORATE GOVERNANCE **Item 8**
(Pages 157 - 170)

The Director of Finance submits the Annual Review of the Council's Local Code of Corporate Governance to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to approve the Local Code of Corporate Governance 2025/26, at Appendix 1.

9. FINANCE UPDATE - FINAL ACCOUNTS PROCESSES **Item 9**
(Pages 171 - 174)

The Director of Finance submits the Finance Update – Final Accounts Processes report to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to note the report.

10. COMMITTEE'S ANNUAL WORK PERFORMANCE REPORT 2024/25 **Item 10**
(Pages 175 - 182)

The Director of Finance submits the Governance and Audit Annual Report 2024/25.

The Governance and Audit Committee is recommended to approve this report for submission to the Council, subject to any amendments that it may wish to

make.

Council is recommended to receive this report.

11. ACTION TRACKER

Item 11
(Pages 183 - 184)

The Committee is recommended to note progress on actions agreed at the previous meeting and not reported elsewhere on the agenda (if any).

12. ANY OTHER URGENT BUSINESS

