



Leicester  
City Council

## **MEETING OF THE GOVERNANCE AND AUDIT COMMITTEE**

**DATE: MONDAY, 16 MARCH 2026**

**TIME: 5:30 pm**

**PLACE: Meeting Room G.01, Ground Floor, City Hall, 115 Charles Street, Leicester, LE1 1FZ**

### **Members of the Committee**

Councillor Kaur Saini (Chair)

Councillor Russell (Vice-Chair)

Councillors Bajaj, Joel, Moore, Rae Bhatia, Singh Patel and Kitterick

Independent members: Seema Jaffer and Mohammed Chunara

Members of the Committee are invited to attend the above meeting to consider the items of business listed overleaf.

For Monitoring Officer

### **Officer contacts:**

Officer contact: Sharif Chowdhury Senior Governance Officer Governance Services, Leicester City Council, City Hall, 115 Charles Street, Leicester, LE1 1FZ Tel. 01164540538 Email. [governance@leicester.gov.uk](mailto:governance@leicester.gov.uk)

## Information for members of the public

### Attending meetings and access to information

You have the right to attend formal meetings such as full Council, committee meetings, City Mayor & Executive Public Briefing and Scrutiny Commissions and see copies of agendas and minutes. On occasion however, meetings may, for reasons set out in law, need to consider some items in private.

Dates of meetings and copies of public agendas and minutes are available on the Council's website at [www.cabinet.leicester.gov.uk](http://www.cabinet.leicester.gov.uk), from the Council's Customer Service Centre or by contacting us using the details below.

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If you intend to film or make an audio recording of a meeting you are asked to notify the relevant Governance Services Officer in advance of the meeting to ensure that participants can be notified in advance and consideration given to practicalities such as allocating appropriate space in the public gallery etc..

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- ✓ to respect the right of others to view and hear debates without interruption;
- ✓ to ensure that the sound on any device is fully muted and intrusive lighting avoided;
- ✓ where filming, to only focus on those people actively participating in the meeting;
- ✓ where filming, to (via the Chair of the meeting) ensure that those present are aware that they may be filmed and respect any requests to not be filmed.

### Further information

If you have any queries about any of the above or the business to be discussed, please contact: , **Governance Services Officer**. Alternatively, email **Error! Unknown document property name.**, or call in at City Hall.

For Press Enquiries - please phone the **Communications Unit on 0116 454 4151**.

# **PUBLIC SESSION**

## **AGENDA**

### **FIRE / EMERGENCY EVACUATION**

If the emergency alarm sounds, you must evacuate the building immediately by the nearest available fire exit and proceed to the area outside the Ramada Encore Hotel on Charles Street as directed by Governance Services staff. Further instructions will then be given.

#### **1. APOLOGIES FOR ABSENCE**

#### **2. DECLARATIONS OF INTEREST**

#### **3. MINUTES OF THE PREVIOUS MEETING (Pages 1 - 4)**

The minutes of the meeting held on 17 February 2026 are attached and Members will be asked to confirm them as a correct record.

#### **4. RISK MANAGEMENT & BUSINESS CONTINUITY STRATEGY AND POLICIES 2026 (Pages 5 - 56)**

The Director of Corporate Services submits the Strategic and Operational Risk Registers to the Governance and Audit Committee.

The Governance and Audit Committee is recommended the following:

1. That the updated Corporate Risk Management Policy Statement and Strategy (appendix 1) be noted. This sets out the council's attitude to risk and the approach to be adopted to manage the challenges and opportunities in relation to the Council's strategic objectives.
2. That the Corporate Business Continuity Management Policy Statement and Strategy (appendix 2) which provides a framework to consider and manage disruptions to service delivery, and sets out the council's attitude, perception and approach towards implementing business continuity practices, be noted.

#### **5. RISK MANAGEMENT UPDATE (Pages 57 - 82)**

The Director of Corporate Services submits the Risk Management Update to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to note the SRR and ORR, and in particular any updates made to the strategic and operational risks.

**6. REGULATION OF INVESTIGATORY POWERS ACT (Pages 83 - 86)  
2000 - BI-ANNUAL PERFORMANCE JULY-  
DECEMBER 2025**

The City Barrister and Head of Standards submits a report to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to:

1. Receive the Report and note its contents.
2. Make any recommendations or comments it sees fit either to the Executive or to the City Barrister and Head of Standards.

**7. INTERNAL AUDIT UPDATE AND INTERNAL AUDIT (Pages 87 - 102)  
PLAN 2026/27**

The Head of Internal Audit submits the Internal Audit Update and Internal Audit Plan 2026-27 to the Governance and Audit Committee.

The Governance & Audit Committee is recommended to approve the 2026/27 internal audit work programme.

**8. FINANCE UPDATE - STATEMENT OF ACCOUNTS (Pages 103 - 106)**

The Director of Finance submits the Finance Update – Statement of Accounts report to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to note the report.

**9. ANNUAL REVIEW OF THE COUNCIL'S LOCAL CODE (Pages 107 - 118)  
OF CORPORATE GOVERNANCE**

The Director of Finance submits the Annual Review of the Council's Local Code of Corporate Governance report to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to approve the Local Code of Corporate Governance 2026/27, at Appendix 1.

**10. COMMITTEE'S ANNUAL WORK PERFORMANCE (Pages 119 - 126)  
REPORT 2025/26**

The Director of Finance submits the Committee's Annual Work Performance Report 2025-26 report to the Governance and Audit Committee.

The Governance and Audit Committee is recommended to approve this report for submission to the Council, subject to any amendments that it may wish to make.

**11. ACTION TRACKER**

**(Pages 127 - 128)**

The Committee is recommended to note progress on actions agreed at the previous meeting and not reported elsewhere on the agenda (if any).

**12. ANY OTHER URGENT BUSINESS**

