

Appendix 1

LCC Strategic Risk Exposure Summary as at 30th September 2021

Risk Index	Risk	I	L	Risk Score 30 Sep 2021	Risk Score 26 July 2021	Risk Score 31 May 2021	Risk Score 31 Jan 2021	Variance	Risk Owner
1.	Financial challenges	5	4	20	20	20	20	↔	AG / CS
3.	Cyber Risk	4	5	20	20	20	20	↔	MC / CSk
8.	Safeguarding – Skill Shortage	5	4	20				New risk	IB
18.	Coronavirus (Sars Coronavirus-2 (SARS-CoV-2))	4	4	16	16	16	20	↔	MC / IB
19.	Coronavirus Economic Impact	4	4	16	16	16	16	↔	RS / MD
7.	Safeguarding - Processes	5	3	15	15	15	15	↔	MS
5.	Information Governance	3	4	12	12	12	12	↔	AG
6.	Compliance with Regulation, Policies, Procedures, Health & Safety etc.	4	3	12	12	16	16	↔	KA / MC
10.	Civil Contingency Response / Incident Response	4	3	12	12	12	12	↔	MC / IB
11.	Climate Change	4	3	12	12	12	12	↔	MW
12.	Resource: Capacity, Capability, Retention & Development	3	4	12	9	9	9	↑	MC / CP / Strategic Directors
13.	Commissioning, Contract Monitoring, Management & Procurement	3	4	12	12	12	12	↔	KA
14.	Asset Management	4	3	12	12	12	12	↔	MW
20.	New Ways of Working Programme	4	3	12	12			↔	MC / CP / CSk / MW
9.	School Improvement	3	3	9	12	12	12	↓	SW
15.	Digital Transformation	3	3	9	9	9	9	↔	MC / CSk
16.	Ensuring Statutory Responsibility for Provision of Secondary School Places	3	3	9	12	12	12	↓	SW
17.	Support for Pupils with SEND	3	3	9	9	12	12	↔	TR
21.	Asylum & Refugees	3	3	9				New risk	CB / JL
4.	Business / Service Continuity Management	4	2	8	8	8	8	↔	MC
2.	Stakeholder Engagement	2	3	6	6	9	9	↔	MC / All Strategic Directors

Key:

IMPACT (I)	SCORE
CRITICAL/ CATASTROPHIC	5
MAJOR	4
MODERATE	3
MINOR	2
INSIGNIFICANT/ NEGLIGIBLE	1

LIKELIHOOD (L)	SCORE
ALMOST CERTAIN	5
PROBABLE / LIKELY	4
POSSIBLE	3
UNLIKELY	2
VERY UNLIKELY / RARE	1

Risk scores:

LEVEL OF RISK	OVERALL RATING	HOW THE RISK SHOULD BE TACKLED/ MANAGED
High Risk	15-25	IMMEDIATE MANAGEMENT ACTION
Medium Risk	9-12	Plan for CHANGE
Low Risk	1-8	Continue to MANAGE

Risk Owners:

AG	Alison Greenhill	MC	Miranda Cannon
CB	Chris Burgin	MD	Mike Dalzell
CP	Craig Picknell	MS	Martin Samuels
CS	Colin Sharpe	MW	Matt Wallace
CSk	Carl Skidmore	RS	Richard Sword
IB	Ivan Browne	SW	Sue Welford
JL	John Leach	TR	Tracie Rees
KA	Kamal Adatia		