

Appendix 3

LCC Operational Risk Exposure Summary as of 30th September 2021

STRATEGIC AREA – CITY DEVELOPMENTS AND NEIGHBOURHOODS

Risk Ref (as per ORR)	Risk	Risk Owner	Impact	Likelihood	Risk Score with existing Risk Score	Impact	Likelihood	Target Risk Score	Target Date
9.	Planning, Development and Transport – Failure to award Street Lighting and Festive Decorations contract, due to Covid-19 pressure on market suppliers and significant increases in schedule rates	ALS	5	5	25	2	3	6	31/01/22 ongoing
1.	Estates and Building Services - The existing PAN1266 contract has expired on 31/8/2021. The new PAN 1700 contract is not in place yet and therefore we are not in contract with anyone for reactive repairs and maintenance.	MW	5	4	20	4	2	8	31/01/22 ongoing
6.	Neighbourhood and Environmental Services – Ash Dieback – Epidemic of Ash Trees	JL	4	4	16	4	4	16	31/01/22 ongoing
2.	Estates and Building Services – Sustainability – Energy inefficient property assets adversely affecting LCC carbon reduction targets	MW	4	4	16	3	4	12	31/01/22 ongoing
3	Estates and Building Services – Sustainability – Climate change / Net zero failure to deliver on ambition of Net Zero targets due to insufficient council investment funding and inability to attract external funding	MW	4	4	16	3	4	12	31/01/22 ongoing
4.	Estates and Building Services – Sustainability – Lack of understanding or appropriate knowledge including climate change impact and expectations and perceptions of stakeholders	MW	4	4	16	3	4	12	31/01/22 ongoing

5.	Estates and Building Services – People – New ways of working – potential impact of staff working from home increasing LCC carbon footprint (Scope 1 emissions)	MW	4	4	16	3	4	12	31/01/22 ongoing
10.	Planning, Development and Transport – Highways and Transport Services – Covid-19 Impacts	ALS	4	4	16	3	4	12	31/01/22 ongoing
7.	Neighbourhood and Environmental Services – Lack of adequate resource capacity	JL	4	4	16	3	3	9	31/01/22 ongoing
11.	Tourism, Culture & Investment – Covid-19 restrictions impact on viability of businesses in the short, medium and long term.	MD	4	4	16	3	3	9	31/01/22 ongoing
8.	Neighbourhood and Environmental Services – Beaumont Park Depot – Condition of depot creating risks to service delivery, individuals working on site and visitors	JL / MW	5	3	15	5	3	15	31/01/22 ongoing
12.	Tourism, Culture & Investment – De Montfort Hall – COVID19 UPDATE – Unable to trade due to government lockdown.	MD	3	5	15	2	5	10	31/01/22 ongoing

STRATEGIC AREA – CORPORATE RESOURCES AND SUPPORT

13.	Delivery, Communications and Political Governance – Cyber Security - Increasing profile and expertise to circumvent established defences increase vulnerability of LCC data.	MC	4	5	20	4	5	20	31/01/22 ongoing
15.	Finance - Financial challenges - The Council fails to respond adequately to the future funding outlook. Council is placed in severe financial crisis. Reputational damage to the Council and substantial crisis job losses.	AG	5	4	20	5	3	15	31/01/22 ongoing
14.	Delivery, Communications and Political Governance – Ongoing Global Supply Chain Issue – Global shortage of semiconductor components impacting technology supply chain	MC	4	4	16	3	4	12	31/01/22 ongoing

16.	Legal – Workloads and Pressure – Client Care. Services within the Council are stretched with increased demands and pressures.	KA	4	4	16	4	3	12	31/01/22 ongoing
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STRATEGIC AREA – SOCIAL CARE AND EDUCATION

17.	Children's Social Care and Early Help - Budget Loss and / or reduction of services to achieve budget savings	CT	5	3	15	5	3	15	31/01/22 ongoing
18.	Commissioning and Performance - Insufficient Places for infants. There are insufficient places for 2, 3, and 4-year olds to meet demand as nurseries are no longer financially viable following Covid19 lockdown and reduced capacity.	SW	3	5	15	3	3	9	31/01/22 ongoing

STRATEGIC AREA – PUBLIC HEALTH

19.	Budget Restrictions - Ongoing austerity for Public Sector requires changes to service delivery to comply with available budget, continued reductions could force termination of services to ensure priority services remain available	IB	4	5	15	3	5	15	31/01/22 ongoing
20.	External Influences - External national imperatives without associated budget introduced which will impact on local delivery	IB	4	4	16	3	4	12	31/01/22 ongoing
21.	Commissioning - Reduced budget for services impacts on financial viability to potential 3rd party contractors who may deem package to be unsustainable.	IB	4	4	16	4	3	12	31/01/22 ongoing
22.	Staffing and recruitment - Difficulty in securing sufficient staff with the appropriate skills and experience to meet the immediate Public Health challenges posed by Covid 19	IB	4	4	16	4	3	12	31/01/22 ongoing

	response and recovery. Recruitment freeze creates difficulties in recruiting Health Protection team to meet service objectives, and potential loss of in-year funding available.								
23.	Covid-19 Easing of lockdown leads to increased infection rates and further local lockdowns or preventative measures needing to be enacted. If Covid activities persist without associated funding from Treasury to support this will create significant budget pressures.	IB	4	4	16	3	3	9	31/01/22 ongoing

Key:

IMPACT (I)	SCORE	LIKELIHOOD (L)	SCORE
CRITICAL/ CATASTROPHIC	5	ALMOST CERTAIN	5
MAJOR	4	PROBABLE / LIKELY	4
MODERATE	3	POSSIBLE	3
MINOR	2	UNLIKELY	2
INSIGNIFICANT/ NEGLIGIBLE	1	VERY UNLIKELY / RARE	1

Risk scores:

LEVEL OF RISK	OVERALL RATING	HOW THE RISK SHOULD BE TACKLED/ MANAGED
High Risk	15-25	IMMEDIATE MANAGEMENT ACTION
Medium Risk	9-12	Plan for CHANGE
Low Risk	1-8	Continue to MANAGE

Risk Owners:

AG - Alison Greenhill
ALS - Andrew L Smith
CT - Caroline Tote
IB - Ivan Browne
JL - John Leach

KA - Kamal Adatia
MC - Miranda Cannon
MD - Mike Dalzell
MW - Matt Wallace
SW - Sue Welford