

Appendix 2

LCC Operational Risk Exposure Summary as of 30th September 2024

STRATEGIC AREA – CITY DEVELOPMENTS AND NEIGHBOURHOODS

Risk Ref (as per ORR)	Risk Theme / Category	Link to Strategi c Risk	Risk	Risk Owner	I	L	Current Risk Score	I	L	Target Risk Score	Target Date	Risk score at 31/05/24	Variance
3.	ECONOMIC ENVIRONMENT AL	SRR 2.3 SRR 6.1	Neighbourhood and Environmental Services – Ash Dieback – Epidemic of Ash Trees.	SA	4	4	16	4	4	16	Ongoing, January 2025 review.	16	↔
1.	POLITICAL ECONOMIC LEGAL	SRR 1.1 SRR 2.1 SRR 2.2 SRR 2.3 SRR 5.1	Housing – Budget Pressures - Increase in inflationary pressures have led to increasing pressures on the HRA and the Housing GF.	CB	4	4	16	4	3	12	Ongoing, December 2024 review.	20	↓
2.	POLITICAL ECONOMIC SOCIO- CULTURAL LEGAL	SRR 1.1 SRR 2.1 SRR 2.2 SRR 3.1 SRR 3.2 SRR 5.1	Housing - Homelessness Ongoing pressure and risks associated to statutory homeless responsibilities, exaggerated by cost of living crisis, housing crisis, asylum pressures, budget pressures, state of PRS, low LCC stock and high waiting times.	CB	4	4	16	3	4	12	Ongoing, January 2025 review.	16	↔
4.	POLITICAL ECONOMIC SOCIO- CULTURAL	SRR 1.2 SRR 2.3 SRR 3.5	Neighbourhood and Environmental Services - Decreasing availability of burial space. Burial space is limited in supply and may run out if further provision is not provided before existing capacity is reached.	SA	4	4	16	4	3	12	Planning Permission 2026.	16	↔

5.	ECONOMIC	SRR 2.3	Neighbourhood and Environmental Services – Age and Condition of Specific Leisure Centres Impact and Implications.	SA	4	4	16	4	3	12	Ongoing, January 2025 review.	16	↔
7.	ECONOMIC	SRR 2.2	Planning, Development and Transport - Failure or delayed delivery of development outcomes, including infrastructure – Ashton Green.	ALS	4	4	16	3	4	12	Ongoing, January 2025 review.	16	↔
8.	ECONOMIC	SRR 2.1	Planning, Development and Transport - Availability of supply chain - contractors, construction difficult to get in place and issues on materials being available since Covid-19.	ALS	4	4	16	3	4	12	Ongoing, January 2025 review.	16	↔
9.	ECONOMIC	SRR 2.2	Planning, Development and Transport - Recruitment and Retention of staff to deliver key projects, programmes and strategies.	ALS	4	4	16	3	4	12	Ongoing, January 2025 review.	16	↔
6.	ECONOMIC	SRR 2.3	Neighbourhood and Environmental Services - Budget Reductions - Reduction in service areas funding from grants, statutory partners and City Council and reduction in traditional income streams - insufficient funding for services to operate effectively.	SA	4	4	16	3	3	9	Ongoing, January 2025 review.	16	↔
10.	POLTICAL SOCIO-CULTURAL LEGAL	SRR 1.2 SRR 3.5 SRR 5.1	Planning, Development and Transport - Duty to Protect - Failure to ensure counter-terrorism measures are incorporated into the built environment where required in order to meet our new statutory duties under the Duty	ALS	5	3	15	5	2	10	Ongoing, January 2025 review.	15	↔

			to Protect legislation, e.g. new public realm schemes incorporating hostile vehicle mitigation measures.										
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STRATEGIC AREA – CORPORATE RESOURCES AND SUPPORT

11.	TECHNOLOGICAL	SRR 4.1 SRR 4.3	Corporate Services – Loss of Key Divisional IT Systems / Data Compromised.	AS	4	5	20	4	4	16	Ongoing Proposals - End December 2024. End December 2024. First draft - October 2024.	20	↔
12.	ECONOMIC	SRR 2.2	Corporate Services – Shortages in terms of staff capacity/key skills.	AS	4	5	20	3	5	15	Ongoing, review and prioritisation of critical roles/areas. End December 2024 review.	20	↔
13.	TECHNOLOGICAL	SRR 4.1	Corporate Services – Cyber Security - Increasing profile and expertise of threat actors such that they are able to circumvent established defences and which therefore increases the	AS	4	5	20	3	4	12	Ongoing, January 2025 review.	20	↔

			vulnerability of LCC systems and data.										
14.	ECONOMIC	SRR 2.3	Corporate Services – Loss of Income Opportunities.	AS	4	4	16	4	3	12	End December 2024 review.	16	↔
19.	POLITICAL	SRR 1.2	Legal – Workloads and Pressure – Client Care. Services within the Council are stretched with increased demands and pressures.	KA	4	4	16	4	3	12	Ongoing, December 2024 review.	16	↔
15.	ECONOMIC	SRR 2.3	Corporate Services - Ongoing Budget Pressures and Savings Impacting on Service Delivery.	AS	4	4	16	3	3	9	End December 2024 review.	16	↔
16.	ECONOMIC	SRR 2.3	Corporate Services – Technology Costs.	AS	5	3	15	4	3	12	Ongoing, January 2025 review.	15	↔
17.	LEGAL	SRR 5.1	Corporate Services – Impacts arising from Future Legislation ‘Martyn’s Law’ - Council is unprepared to respond to the potential requirements of forthcoming legislation related to counter-terrorism and therefore fails in the duty to protect people.	AS	5	3	15	5	2	10	Ongoing work with internal working group, January 2025 review.	15	↔
18.	TECHNOLOGICAL	SRR 4.1	Finance - Lack of critical skills, resources & capabilities BCP not fit for purpose if total or partial loss of key IT systems occurs at the same time.	AO	5	3	15	5	2	10	End December 2024 review.	15	↔

STRATEGIC AREA – SOCIAL CARE AND EDUCATION

21.	ECONOMIC	SRR 2.2	Children's Social Care, Community Safety and Prevention – Workforce availability – Diminishing availability of experienced skilled social workers.	DE	4	4	16	4	3	12	Ongoing, December 2025 review.	20	↓
22.	ECONOMIC	SRR 2.1	Children's Social Care, Community Safety and Prevention - Budget Loss and / or reduction of services to achieve budget savings.	DE	4	4	16	4	3	12	Ongoing, April 2025 review.	20	↓
23.	SOCIO-CULTURAL	SRR 3.3	Education, SEND and Early Help - School collapses due to Reinforced Autoclaved Aerated Concrete failure.	SM	4	4	16	3	3	9	Spring 2025 review.	16	↔
24.	SOCIO-CULTURAL	SRR 3.4	Education, SEND and Early Help – External pressures from migration and Government policies for asylum seeker and refugee placements within the city has added significant in-year migration pressure on primary and secondary school places.	SM	4	4	16	3	3	9	Monthly monitoring of demand.	16	↔
20.	SOCIO-CULTURAL ECONOMIC LEGAL	SRR 2.3 SRR 3.1 SRR 3.2 SRR 5.1	Adult, Social Care and Commissioning - Unable to deliver savings.	KG	4	4	16	4	2	8	Ongoing, January 2025 review.	16	↔

STRATEGIC AREA – PUBLIC HEALTH

25.	ECONOMIC	SRR 2.3	Wider Economy and Supply Chain - Brexit / Covid related pressures increases prices or reduces availability of IT stock / services / logistics / medicines etc. within the supply chain.	RH	5	4	20	4	4	16	End May 2025 review.	20	↔
26.	ECONOMIC	SRR 2.2	Staffing and recruitment - External. A national skill shortage and recruitment crisis in conjunction with Leicester being a challenging area in comparison to neighbouring areas creates difficulties in securing appropriately trained professionals within commissioned services.	RH	5	4	20	5	3	15	End January 2025 review.	20	↔
27.	ECONOMIC	SRR 2.3	Commissioning - Reduced budget for services impacts on financial viability to suppliers at the tender stage who may deem package to be unviable leading to a lack of bids reducing competition or tender failing altogether.	RH	4	4	16	4	3	12	End January 2025 review.	16	↔
28.	POLITICAL	SRR 1.1	Policy / Governance / Partnerships - Population health and the wider determinants of health impact, and are impacted by, a broad range of activities LCC undertakes.	RH	4	4	16	3	4	12	End January 2025 review.	16	↔
29.	ECONOMIC	SRR 2.2	Staffing and recruitment - Internal. An emerging recruitment crisis across a variety of sectors creates difficulty, both within Public Health and our commissioned services or system	RH	4	4	16	4	3	12	End January 2025 review.	16	↔

			partners, in securing sufficient staff with the appropriate skills and experience.										
30.	TECHNOLOGICAL	SRR 4.1	Data - Complex data sharing agreements with external organisations not in place or understood by officers which restricts information flow into Public Health required to deliver objectives.	RH	4	4	16	3	3	9	End January 2025 review.	16	↔
31.	SOCIO-CULTURAL	SRR 3.2	Health Protection /Covid 19 – Variant strains or other diseases emerge requiring a significant response, further local lockdowns, preventative measures, or a continuance of efforts that have been tapered off.	RH	5	3	15	4	3	12	End January 2025 review.	15	↔

Key:

IMPACT (I)	SCORE	LIKELIHOOD (L)	SCORE
CRITICAL/ CATASTROPHIC	5	ALMOST CERTAIN	5
MAJOR	4	PROBABLE / LIKELY	4
MODERATE	3	POSSIBLE	3
MINOR	2	UNLIKELY	2
INSIGNIFICANT/ NEGLIGIBLE	1	VERY UNLIKELY / RARE	1

Risk scores:

LEVEL OF RISK	OVERALL RATING	HOW THE RISK SHOULD BE TACKLED/ MANAGED
High Risk	15-25	IMMEDIATE MANAGEMENT ACTION
Medium Risk	9-12	Plan for CHANGE
Low Risk	1-8	Continue to MANAGE

Risk Owners:

ALS	-	Andrew L Smith	KA	-	Kamal Adatia
AO	-	Amy Oliver	KG	-	Kate Galoppi
AS	-	Andrew Shilliam	RH	-	Rob Howard
CB	-	Chris Burgin	SA	-	Sean Atterbury
DE	-	Damian Elcock	SM	-	Sophie Maltby