

# **Leicestershire, Leicester and Rutland Joint Health Scrutiny Committee**

**April 2018**

## **Report of the Leicestershire Partnership (NHS) Trust**

Assuring the response to Care Quality Commission (CQC)  
Comprehensive and Core Service Inspections

### **Introduction/Background**

1. Findings from the recent Care Quality Commission (CQC) inspection were published in January 2018. This followed an inspection of five Core Services (October 2017) and completion of a 'Well-led' inspection (November 2017). The Trust has responded to the six Requirement Notices, which resulted in 19 'must-do' statutory actions with a range of improvement measures. These actions have been combined with the seven open actions from 2016 to establish a composite CQC Action Plan (Appendix 1).
2. The Quality Assurance Committee (QAC) has revised its processes for delivering assurance against CQC actions and the systems for the governance of those actions following the closure of the Compliance Assurance (CompAss) task and finish group. Each action now has a designated Senior Responsible Owner (SRO) within the relevant directorate who maintains overall accountability for delivery of the required improvements. Corporate governance committee/groups have begun to consider the evidence and provide an assurance opinion to the QAC against progress.

### **Aim**

3. This paper outlines in summary the CQC's latest key findings and details the Trust's processes for delivering assurance against the CQC inspection action plan.

### **Recommendations**

4. Note the Trust's processes for delivering assurance against the CQC inspection action plan.

### **Discussion**

5. In October 2017 the CQC inspected five core services;
  - Acute wards for adults of working age and psychiatric intensive care units.
  - Community-based mental health services for adults of working age.
  - Mental health crisis services and health-based places of safety.
  - Specialist community mental health services for children and young people.
  - Community health services for adults.
6. The overall rating for community health services for adults has improved and is now rated as good. The remaining four services were rated as 'requires improvement'. The overall Trust rating 'requires improvement' has stayed the same since the 2016 and 2015 inspections.
7. The CQC has published a single report containing detailed appendices relating to each core service inspected. This can be found at <http://www.cqc.org.uk/provider/RT5>
8. All CQC actions are assigned to the most appropriate directorate and corporate governance committee/group in line with their Terms of Reference. These groups receive regular progress reports on their allocated actions. Rather than agreeing a secondary RAG

rating, these groups provide an assurance opinion (e.g. 'Fully Assured' 'Partially Assured' or 'Not Assured'). This rating has been included on the action plan. To date, the Clinical Effectiveness Group (CEG) is partially assured over the one red rated action from the 2017 inspection.

9. SRO's also provide regular progress updates on all actions to the Regulation and Assurance Team who maintain an overall action plan progress report which is shared with the QAC, the Trusts lead Clinical Commissioning Group, NHS Improvement, Local Authority Health Overview and Scrutiny Commission and with the CQC for information.

## **Conclusion**

10. This paper provides assurance to the Committee that systems for effective governance are in place for the oversight and scrutiny of CQC actions. The Trust's approach combines directorate level ownership alongside increased oversight at governance groups and committees to internally govern improvement.

## **Appendix 1: Composite CQC Action Plan**